



**We Will Begin Shortly**



## Session Overview

- **Welcome**
- **Speaker Introduction**
- **Innovation Exchange Presentation**
- **Cross Agency Priority Goal 8: Results-Oriented Accountability for Grants**
- **Q&A**
- **Stay Informed!**





## Speaker: Nicole Waldeck

Nicole Waldeck is a Policy Analyst with the Office of Management and Budget (OMB), Office of Federal Financial Management (OFFM). In this role, Nicole collaborates to provide government-wide policy on the award, administration, and management of Federal financial assistance. She leads government-wide initiatives to improve Federal financial assistance policies, processes, and systems while engaging with government and non-Federal stakeholders on key issues. Nicole is the OMB lead for the revision to Title 2 of the Code of Federal Regulations and the Performance Workgroup for the Results-Oriented Accountability Cross-Agency Priority Goal for the President's Management Agenda.

Working in both the private and public sectors for over a decade, Nicole is passionate about improving government accountability, efficiency and transparency. Prior to OMB, Nicole worked at the Overseas Private Investment Corporation (OPIC) where she provided oversight of agency programs, performed budget formulation and execution activities, developed complex models, and lead agency initiatives. In the private sector, Nicole collaborated on Six Sigma process improvement projects and multifaceted analytical projects.

Nicole received her Master of Public Policy Degree from the Schar School at George Mason University and her Bachelor of Science Degree in Finance from the University of Central Florida. She is also a certified Project Management Professional (PMP). Nicole lives in Arlington, Virginia with her husband and dog Wrigley.





## Speaker: John Miklozek

John Miklozek is a Federal Financial Management Leadership Fellow with the Office of Management and Budget's (OMB) Office of Federal Financial Management (OFFM). In this capacity, he helps develop and implement coordinated policy to assure that Federal financial management policy and practices improves government accountability and transparency. He also manages the implementation of specified financial management-related statutes and executive orders to ensure alignment with broader financial management efforts, OFFM mission, and the President's Management Agenda.

John comes from the U.S. Department of Agriculture's (USDA) Agricultural Marketing Service (AMS) where he is the Director of the Grants Division. He is responsible for overseeing the implementation of AMS' portfolio of grants distributing approximately \$140 million annually benefiting agricultural marketing, local foods, specialty crops, and other commodities. Working in Federal grants for over 10 years, he's implemented a variety of grant programs, which benefit minority-serving institutions, industry associations, and small and mid-level agricultural producers. His focus is consistently on easing access, streamlining processes, and increasing understanding of managing USDA grants.

John earned a Bachelor of Arts and Bachelor of Science from Indiana University at Bloomington and a Master of Public Administration from George Mason University. He resides in Arlington, Virginia with his wife and two children.





## **Results-Oriented Accountability for Grants**

### **Revisions to the Code of Federal Regulations Title 2 Subtitle A- OMB Guidance for Grants and Agreements**

**September 9, 2020**

**Nicole Waldeck and John Miklozek, OMB**



# Session Objectives

1. Provide an overview of the finalized revisions
2. Discuss the effective dates and implementation of the finalized revisions
3. Provide helpful information on how to access the information
4. Receive feedback from attendees







## What is the OMB Uniform Guidance (UG)?

- A set of authoritative rules and regulations about Federal grants, located in Title 2 of the Code of Federal Regulations (2 CFR)
  - **Designed to keep everyone in the grants community on the same page**
- **December 26, 2013:** Developed
  - Several OMB circulars combined into one uniform document
- **December 19, 2014:** Adopted by Federal grant-making agencies
  - OMB review UG every 5 years after created (2 CFR 200.209)
- **August 13, 2020:** First major revision to 2 CFR finalized
  - Major revisions to 2 CFR parts 25, 170, 200 and new part 183





## How were revisions chosen?

*The scope of the revisions (see preamble):*

- 1. Support the President's Management Agenda Results-Oriented Accountability for Grants CAP Goal and other Administration priorities*
- 2. Meet statutory requirements and align with other authoritative sources*
- 3. Clarify existing requirements*







## 2 CFR Revision Overview

Revisions increase results-oriented accountability, strengthen national security, and increase transparency for financial assistance.

Represents a continuing effort to pivot towards a focus on stewardship and the results that the American people are receiving for the funding expended through grant programs.





# Title 2 Parts Revised

- Part **25**: UNIVERSAL IDENTIFIER AND SYSTEM FOR AWARD MANAGEMENT
- Part **170**: REPORTING SUBAWARD AND EXECUTIVE COMPENSATION INFORMATION
- Part **183** (new section): NEVER CONTRACT WITH THE ENEMY
- Part **200** (Uniform Guidance): UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS





# Major Revisions

## Support the President's Management Agenda Results-Oriented Accountability for Grants CAP Goal and other Administration priorities

Some revisions include (see preamble for more details):

- Emphasizing stewardship and results-oriented accountability for grant program results ( *§§ 200.102(d), 200.202, 200.205, 200.207, 200.211(a), 200.211(c)(1)(iv), 200.211(c)(1)(v), 200.211(c)(2), §200.301, 200.339(a)(2), Appendix I (A) & (B)*)
- Standardizing terminology to implement standard data elements ( *§§ 200.1, 200.207, 200.301, 200.328(c)(2)*)
- Making indirect cost rates transparent ( *§ 200.414(h)*)
- Strengthening merit review requirements for discretionary grants ( *§ 200.205*)
- Eliminating references to agency non-authoritative guidance ( *§ 200.105*)
- Clarifying the termination provisions ( *§ 200.340*)





# Major Revisions

## Meet statutory requirements and align with other authoritative sources

Some revisions include (see preamble for more details) aligning with:

- The Federal Acquisition Regulation (FAR) and the 2017 and 2018 National Defense Authorization Acts (NDAA) to raise the micro-purchase threshold from \$3,500 to \$10,000 and the simplified acquisition threshold from \$100,000 to \$250,000 ( *§§ 200.319, 200.320*)
- The 2019 NDAA section 889, Prohibition on certain telecommunications and video surveillance services or equipment ( *§ 200.216*)
- The General Accepted Accounting Principles (GAAP), specifically the Government Accounting Statements Board (GASB) statement 68 and 45, related to pension costs and depreciation ( *§ 200.431*)





# Major Revisions

## Clarifying existing requirements

Some revisions include (see preamble for more details):

- Codifying some frequently asked questions related to the prior release to 2 CFR ( *§§ 200.101, 200.332, 200.414*)
- Clarifying the responsibilities of the pass-through entity to address only a subrecipient's audit findings related to their specific subaward ( *§ 200.332*)
- Clarifying the documentation requirement for using the de minimis indirect cost rate ( *§ 200.414*)





## Effective Dates:

- Overall – November 12, 2020
- 200.216, 200.340, 200.471 – August 13, 2020

## Common Implementation Questions:

- Prohibition on Certain Telecommunications Equipment or Services (200.216)
- Agency Implementation
- Updating existing Frequently Asked Questions





# Helpful Information

1. How to access the Federal Register document for finalized revisions:
  - <https://www.federalregister.gov/documents/2020/08/13/2020-17468/guidance-grants-and-agreements>
  - <https://www.performance.gov/CAP/grants/>
2. How to access additional resources (i.e., redline):
  - <https://www.performance.gov/CAP/grants/>
3. How to join the community of practice to receive the latest communications from the OMB Grants Team?
  - <https://www.performance.gov/CAP/grants/>







## 1. Major revisions to the guidance in the 3 scope areas:

- Support implementation of the President's Management Agenda Results-Oriented Accountability for Grants CAP Goal and other Administration priorities
- Meet statutory requirements and to align with other authoritative source requirements
- Clarify existing requirements

## 2. Effective Dates (!!)

- 200.216, 200.340, 200.471 – August 13, 2020
- Other Sections – November 12, 2020

## 3. Access additional information on the 2 CFR Revisions

- <https://www.performance.gov/CAP/grants/>





# Contact OMB with Questions

We are here to help!

Email us your questions:

[GrantsTeam@omb.eop.gov](mailto:GrantsTeam@omb.eop.gov)





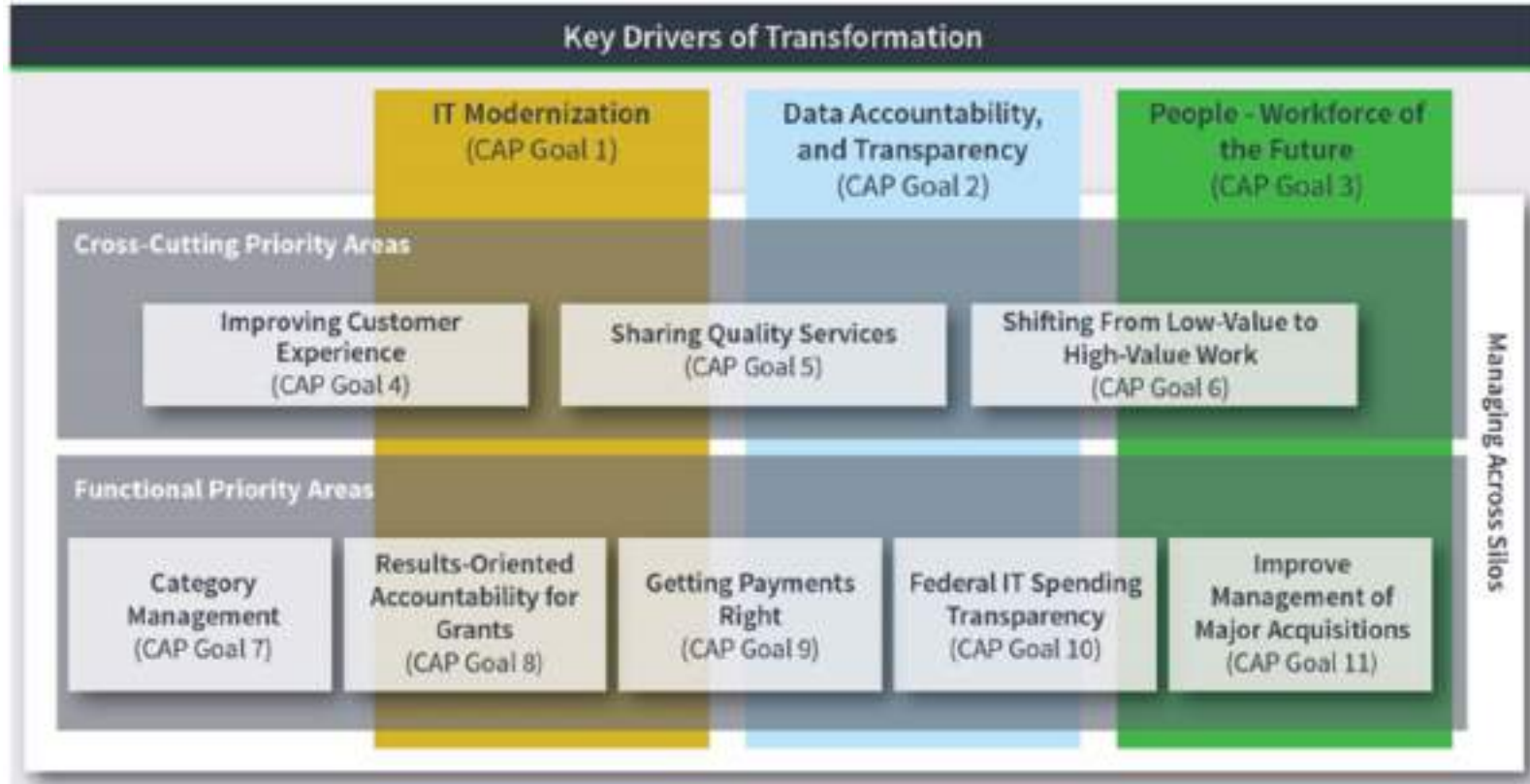
*Maximize the value of grant funding by applying a risk-based, data driven framework that balances compliance requirements with demonstrating successful results for the American taxpayer.*

**GOAL STATEMENT**



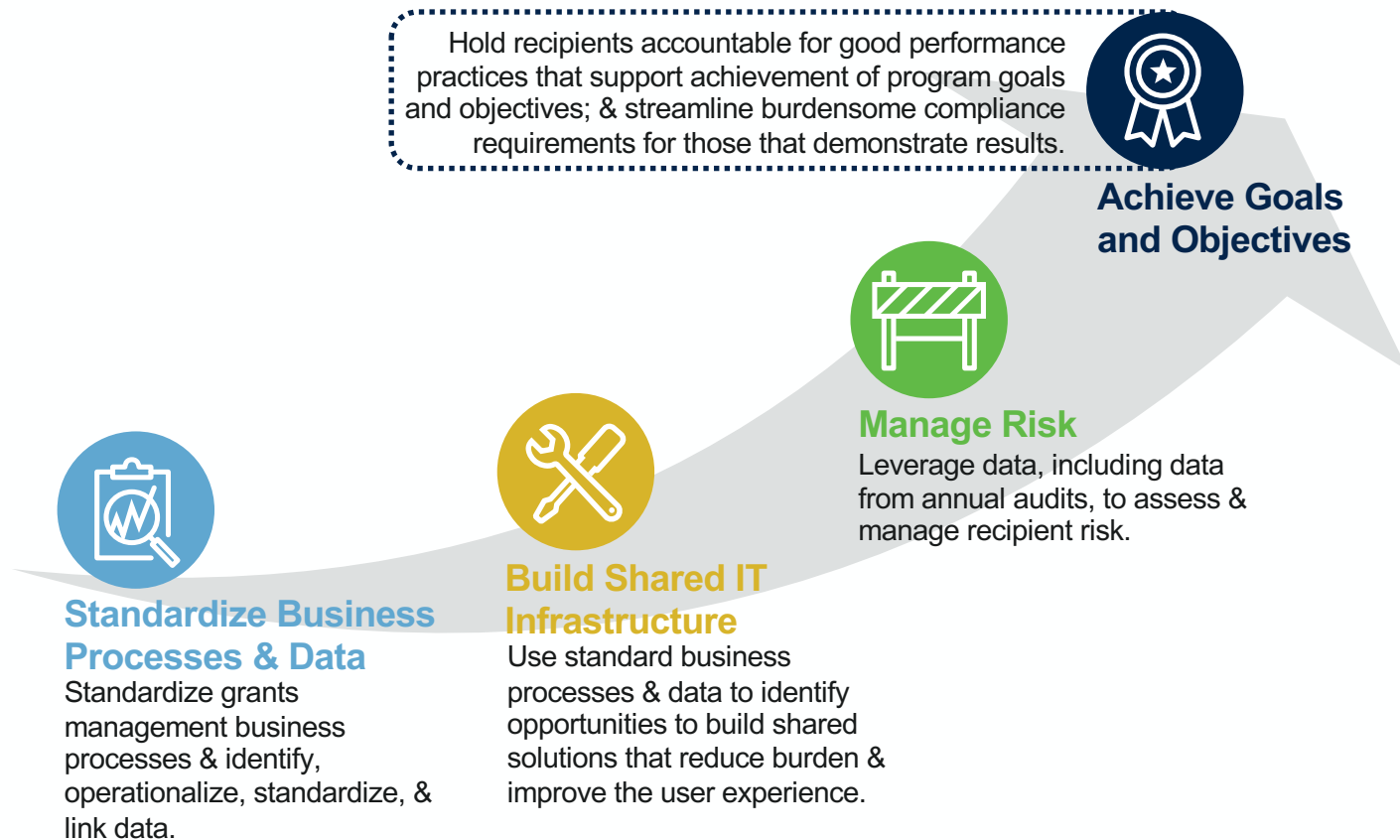


# Cross Agency Priority Goal Environment





# Grants CAP Goal Strategies





## Instructions:

Please enter your questions via the chat feature via Adobe Connect; or

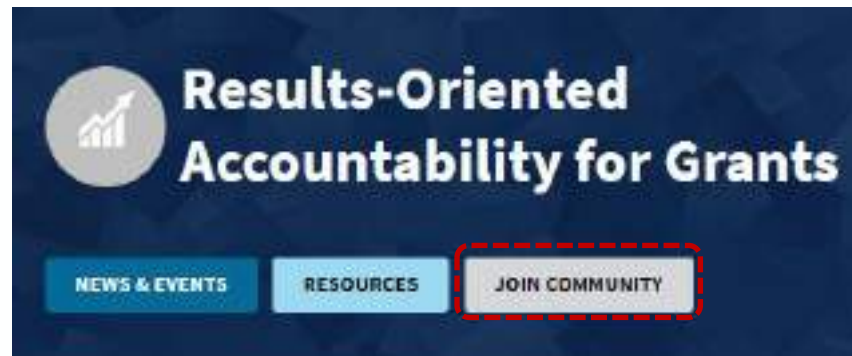
Email them to [GrantsTeam@omb.eop.gov](mailto:GrantsTeam@omb.eop.gov)





## JOIN OUR COMMUNITY TODAY!

<https://www.performance.gov/CAP/grants/>



## DO YOU HAVE AN INNOVATION YOU WOULD LIKE TO SHARE?

Please email the Grants Team at [GrantsTeam@omb.eop.gov](mailto:GrantsTeam@omb.eop.gov)

